PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Baker et al.

Appl. No.: 10 015.390

Filed

December 12, 2001

SECRETED AND
TRANSMEMBRANE
POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME

Unknown

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SEQUENCE SUBMISSION STATEMENT

United States Patent and Trademark Office PO Box 2327 Arlington, VA 22202

Dear Sir:

This is in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed May 21, 2002. I hereby state that the amendments, made in accordance with 37 C.F.R. § 1.825(a) and included in the Substitute Sequence Listing submitted herewith, are supported in the application, and that the Substitute Sequence Listing does not include new matter.

I further state that the information recorded in the currently submitted substitute copy of the computer-readable form of the Sequence Listing is identical to the paper form of the Sequence Listing submitted herewith as required in 37 C.F.R. § 1.825(b).

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: July 9, 2002

By:

Adeel S. Akhtar

Registration No. 41,394

Attorney of Record

620 Newport Center Drive, 16th Floor

Newport Beach, CA 92660

(415) 954-4114

TO POLICE (30)

JUL 1 5 2002

Case Docket No. GNE.2830P1C53

Date: July 9, 2002

Page 1

In re application of

Baker et al.

App. No.

10 015,390

Filed

December 12, 2001

For

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS

ENCODING THE SAME

Examiner

Unknown

Art Unit

Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to United States Patent and Trademark Office. PO Box 2327, Arlington VA 22202, on

July 9, 2002

(Date)

et the the

United States Patent and Trademark Office PO Box 2327 Arlington VA 22202

Sir:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated as shown below:

| | CLAIMS REMAINING AFTER AMENDMENT | CLA | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADDITIONAL FEE |
|--|---|-----|---------------------------------|------------------|-------|-------------------|
| Total Claims | 20 | | 20 | = 0 ⊀ | \$18 | = \$0 |
| Independent Claims | 3 | | 3 | = 0 | \$84 | = \$0 |
| If application has been amended to contain multiple dependent claim(s), then add | | | | | \$280 | = \$0 |

TOTAL ADDITIONAL FEE FOR THIS AMENDMENT

\$0

- (X) Second Preliminary Amendment in 1 page;
- (X) Sequence Submission Statement;
- (X) Substitute Sequence Listing in 405 pages:
- (X) Substitute Sequence Listing in computer readable form;

Case Docket No. GNE.2830P1C53

Date: July 9, 2002

Page 2

- (X) Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and or Amino Acid Sequence Disclosures;
- (X) Correction of address
- (X) Return prepaid postcard;
- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Adeel S. Akhtar

Registration No. 41,394 Attorney of Record







United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023 www.uspto.gov

ATTORNEY DOCKET NUMBER FIRST NAMED APPLICANT APPLICATION NUMBER FILING RECEIPT DATE

10 015.390

Ginger R. Dreger

12 12 2001

Kevin P. Baker

P2830P1C53

CONFIRMATION NO. 9959

FORMALITIES LETTER

OC000000008150298*

Knobbe Martens Olson & Bear **Suite 1150** 201 California Street San Francisco, CA 94111



Date Mailed: 05/21/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE **DISCLOSURES**

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE